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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: C. Freitas et al.

Attorney Docket No.: XANI117199

Application No.: 29/138,710

Group Art Unit: 2900

Filed: March 20, 2001

Title: ENERGY CONVERSION APPARATUS

PAYMENT OF PROCESSING AND RETENTION FEE

*Payment of processing  
and Retention fee  
C. Freitas  
8-26-01*  
Seattle, Washington 98101  
October 17, 2001

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JAN 09 2002

TECH CENTER 1600/2900

TO THE COMMISSIONER FOR PATENTS:

Pursuant to 37 C.F.R. §§ 1.53(b), 1.53(f), 1.78, and 1.21(l), applicants respectfully request that a processing and retention fee in the amount of \$130.00 to prevent disposal of the above-identified application be charged from Deposit Account No. 03-1740. The payment of the processing and retention fee is being submitted during the pendency of the above-identified application. Therefore, payment of the aforementioned fee preserves the right for applicants to obtain certified copies of the above-identified application. If any additional information is required in this matter, a representative of the U.S. Patent and Trademark Office is invited to contact the undersigned attorney at the number listed below.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

John D. Denkenberger  
Registration No. 44,060  
Direct Dial No. 206.695.1749

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: October 17, 2001

JDD:mgp

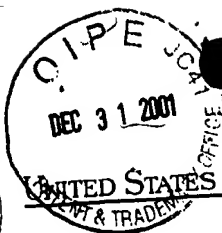
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APPLICATION NUMBER 29/138,710	FILING/RECEIPT DATE 03/20/2001	FIRST NAMED APPLICANT Christopher Freitas	ATTORNEY DOCKET NUMBER XANT117199
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CONFIRMATION NO. 9759

FORMALITIES LETTER



\*OC000000005976966\*

26389  
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
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SEATTLE, WA 98101-2347

Date Mailed: 04/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 320 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 450.

*A copy of this notice **MUST** be returned with the reply.*

*Amelia Manalac*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01/03/2002 BNGUYEN1 00000122 031740 29138710

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02 FC:105 130.00 CH  
Adjustment date: 01/07/2002 BNGUYEN1  
01/03/2002 BNGUYEN1 00000122 031740 29138710  
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